



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

TW

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/783,174	02/20/2004	Larry F. Rhodes	PHR203-0004 US	7299
55165	7590	06/14/2007		
PROMERUS, LLC 9921 BRECKSVILLE ROAD BRECKSVILLE, OH 44141			EXAMINER CHU, JOHN S Y	
			ART UNIT	PAPER NUMBER
			1752	
			MAIL DATE	DELIVERY MODE
			06/14/2007	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

## Office Action Summary

Application No.

10/783,174

Applicant(s)

RHODES ET AL.

Examiner

John S. Chu

Art Unit

1752

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 14 March 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1 and 3-17 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 12-17 is/are allowed.
- 6) ☒ Claim(s) 1 and 3-11 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### DETAILED ACTION

This Office action is in response to the amendment filed March 14, 2007.

1. The disclosure is objected to because of the following informalities: Claim 12 ends on the term "second" which is unclear and appears to be a typographical error omitting the term "polymer".

Appropriate correction is required.

### *Claim Rejections - 35 USC § 112*

2. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

3. Claims 1, and 3-8 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The claims remain indefinite with respect to the recited language reciting the "desired exo mole percent is greater than or less than the expected exo isomer mole percent for a polycyclic olefin monomer". The conditions for deriving the monomers based on the Diels-Alder reaction is unclear as to the precursors used. The precursors having certain substituted groups, which can alter the expected formation of exo isomer and endo isomers derived.

In addition the preparation for polymerization can alter the mole percent of the isomer content away from the expected mole percent from the Diels-Alder reaction.

Thus the claims without specifying the precursors used provide no guidance as to the expected isomer formation leaving the claim indefinite and ambiguous. Further the lack of the

Art Unit: 1752

amounts of the isomer components used to polymerize the units creates an ambiguous scope as to the amounts used in preparing the claimed invention.

The claims do not clearly define the metes and bounds of the invention so that others attempting to avoid infringement would not be guided as to the claimed scope.

Correction and clarification are necessary.

The rejection is repeated based on the claim still being seen as indefinite as to the metes and bounds of the claims such that one attempting to avoid infringement would not know wherein the lines of patentability are drawn based on the claims as recited.

***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

5. Claims 1, 3-17 are rejected under 35 U.S.C. 102(b) as being anticipated by KINSHO et al (6,284,429 B1).

The claimed invention is now drawn to the following:

1. (Currently Amended) A photoresist composition comprising a polymer comprising at least one polycyclic olefin derived type of repeat unit having a desired exo mole percent, where the desired exo mole percent is greater than or less than the expected exo isomer mole percent for a polycyclic olefin monomer from which the polycyclic olefin type of repeat unit is derived, such expected exo isomer mole percent based on the thermodynamic equilibrium of the isomers of such monomer that are obtained from a Diels-Alder reaction used to form such monomer.

KINSHO et al teaches an ester compound having an exo-form 2-alkylbicyclo[2.2.1]heptan-2-yl group as the protective group as well as a polymer comprising units of the ester compound. The said polymer is used as a base resin to formulate a resist composition having a high sensitivity, resolution and etching resistance compared to conventional resist compositions (abstract). See also column 6, line 57 – column 7, lines 67

6. Claims 1, and 3-12 are rejected under 35 U.S.C. 102(e) as being anticipated by SHIN et al (2003/0004289 A1).

Production Example 2 exemplifies the synthesis of 4-oxa-tricyclo[5.2.1.0(2,6)]dec-8-en-3-one (NL) having an endo and exo mixture in 80% yield (p.0057-0059). The said monomer was used to synthesize a copolymer of 5-norbornene-2-carboxylic acid (NCA) and NL (production ex.5;p.0072-0074) and a terpolymer of poly[ANCA/NCA/NL] 9production ex. 12; p. 0097-0099). The said copolymer of example 12 was admixed with an acid generator and a solvent to form a photoresist composition )p.0116-0120).

7. Claims 1 and 3-12 are rejected under 35 U.S.C. 102(e) as being anticipated by BOARDMAN et al (6,358,675 B1).

Art Unit: 1752

Example 8 of BOARDMAN et al exemplifies the synthesis of a monomer Norbornene Ester 1 having an approximately 55:45 mixture of endo and exo isomers (see column 11, line 45 – column 12, line 6)). The said ester was used in the preparation of polymer 3 (ex. 9), which is a terpolymer of bicycle[2.2.1]hept-2-ene, Norbornene Ester 1 and maleic anhydride (column 12, lines 8-27). A resist solution was then prepared by combining the said terpolymer with an acid generator and a solvent (Ex. 10; column 12, lines 29-55).

8. Claims 1 and 3-12 are rejected under 35 U.S.C. 102(e) as being anticipated by POSS et al (2003/0232276 A1).

Because of the indefinite metes and bounds as claimed in the current application, POSS et al is seen to anticipate the claimed invention wherein the disclosed various forms of the endo/exo isomer are present in fluoroalkyl norbornene repeating units similar to the claimed fluorinated carbinol repeating units recited. used to make the polycyclic olefins resin.

Examples 1-32 disclose the synthesis of the repeating units of fluorinated carbinol substituted norbornene wherein the presence of the endo/exo isomers are not explicitly disclosed, however these isomers are known to be present in the reaction products. Claim 1 recites “greater than or less than the expected exo isomer mole percent...”, however that recited scope is indefinite wherein the expected mole percent can depend on many factors during synthetic processing, such that the mole percent of endo/exo isomers used based while preparing the repeating units can come in greater than or less than the expected mole percent of isomer based on the thermodynamic equilibrium obtained from the Diels-Alder reaction. It is unclear wherein the lines of grant protection are drawn. POSS et al inherently possesses an isomer mole percent of endo/exo isomers which when prepared for polymerization of the repeating units would be

Art Unit: 1752

greater than or less than the expected mole percent of the expected isomer percent based on the thermodynamic equilibrium of a Diels-Alder reaction. The prior is seen to anticipate the nebulous scope claimed in the current application.

9. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a).

Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Examiner Chu whose telephone number is (571) 272-1329. The examiner can normally be reached on Monday - Friday from 9:30 am to 6:00 pm.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Cynthia Kelly, can be reached on (571) 272-1526

The fax phone number for the USPTO is (571) 273-8300.

Art Unit: 1752

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PMR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/John S. Chu/

Primary Examiner, Group 1700

J.Chu

June 7, 2007